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# *On an Aw-Rascle type traffic model*

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Wolfgang Pauli Institute, Vienna, May 2008

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- Introduction
  - Observed patterns of traffic flow
  - Balanced vehicular traffic

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## Observed patterns of traffic flow

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### Instability of traffic flow

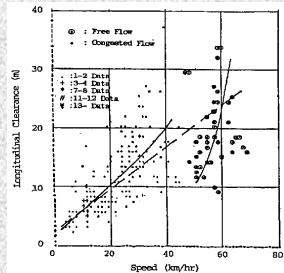
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- Phantom traffic jams
  - Sugiyama et al., New Journal of Physics 10 (2008) 033001
  - Schadschneider (WDR 2006)
- Growing perturbations
  - Schönhof, Helbing, Transportation Science 41 (2007) 135: boomerang effect
  - Treiterer, Myers (1974)
- Conclusions
  - Traffic flow has an unstable regime
  - Lane changes cannot be the reason for these instabilities
  - Traffic models beyond LWR and ARZ are needed

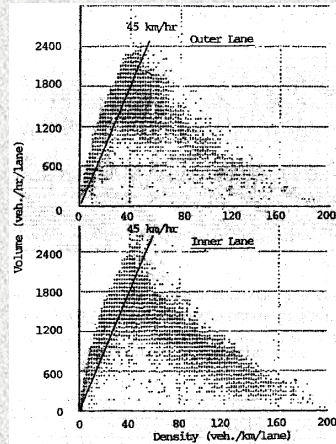


## Flow-density data

- Koshi, Iwasaki, Ohkura (1983)



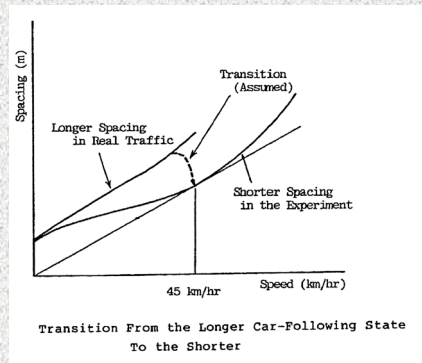
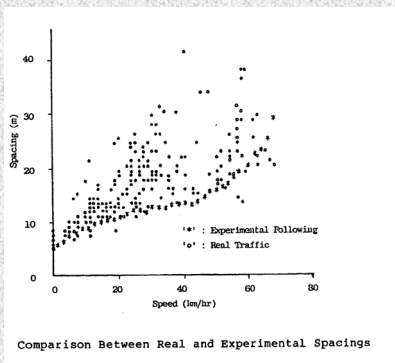
- reversed  $\lambda$
- no homogeneous car following (steady states) for velocities of about 45 km/h



- Conclusion
  - Multivalued fundamental diagrams
  - Kerner (2004): 2D-region of steady states (synchronized flow)

## Wide scattering of congested traffic

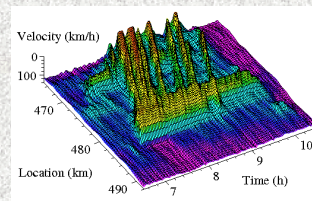
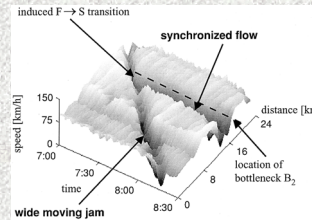
- Koshi, Iwasaki, Ohkura (1983)





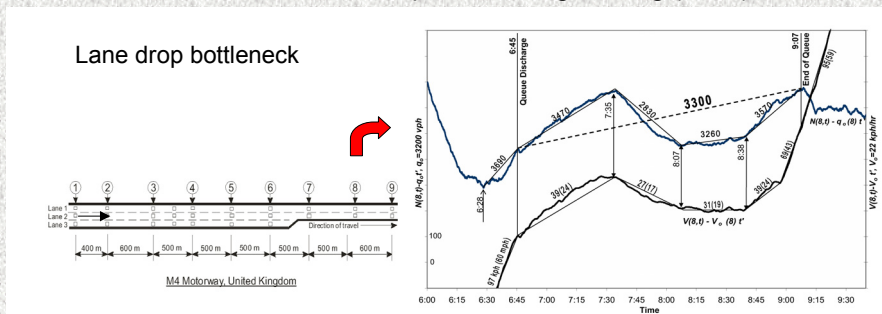
## Spatiotemporal patterns of traffic flow

- Kerner et al.
  - Three-Phase Theory
    - Free flow
    - Synchronized flow
    - Wide moving jams
      - Travel through bottlenecks
      - Constant propagation speed of the downstream jam front
      - Constant outflow to free flow
- Helbing, Treiber et al.
  - Phase Diagrams
    - Free Traffic (FT)
    - Pinned Localized Clusters (PLC)
    - Moving Localized Clusters (MLC)
    - Stop and Go Waves (SGW)
    - Oscillating Congested Traffic (OCT)
    - Homogenized Congested Traffic (HCT)



## Capacity drop

- Bertini, Leal, Journal of Transportation Engineering (2005)



- Capacity drop
  - The outflow in the downstream section is below the maximum free flow of that section after synchronized flow has formed upstream of the bottleneck

## Balanced vehicular traffic

### Balanced vehicular traffic model

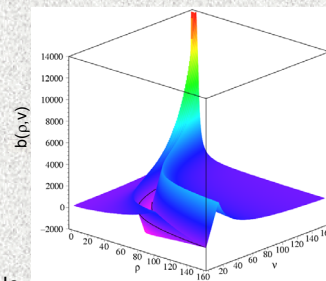
- Hyperbolic system of balance laws

continuity equation: 
$$\frac{\partial \rho}{\partial t} + \frac{\partial(\rho v)}{\partial x} = 0$$

pseudomomentum equation: 
$$\frac{\partial(\rho w)}{\partial t} + \frac{\partial(v \rho w)}{\partial x} = -b(\rho, v) \rho w$$

$\rho$ : vehicle density  
 $v$ : dynamical velocity  
 $u(\rho)$ : equilibrium velocity  
 $w = v - u(\rho)$ : distance from equilibrium  
 $b(\rho, v)$ : effective relaxation coefficient

- Characteristic speeds
  - $\lambda_1 = v + \rho u'(\rho) \leq v$ : genuinely nonlinear
    - Shocks and rarefaction waves
  - $\lambda_2 = v$ : linearly degenerate
    - Contact discontinuities
- Parameterization
  - Equilibrium velocity:  $u(\rho)$
  - Effective relaxation coefficient:  $b(\rho, v)$ 
    - For  $b(\rho, v) < 0$  equilibrium velocity  $u(\rho)$  unstable



## Motivation for negative “effective relaxation”

- Finite reaction time  $\tau$  in ARZ model:

➤ in moving observer coordinates

$$\frac{\partial v(t, x)}{\partial \tilde{t}} = \frac{\partial u(\rho(t - \tau, x - v\tau))}{\partial \tilde{t}} - \frac{v(t - \tau, x - v\tau) - u(\rho(t - \tau, x - v\tau))}{T}$$

- leading order of Taylor series expansion

$$\frac{\partial(v - u)}{\partial \tilde{t}} = -\frac{v - u}{T - \tau}$$

➤ instabilities for  $T - \tau < 0$

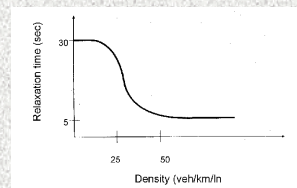
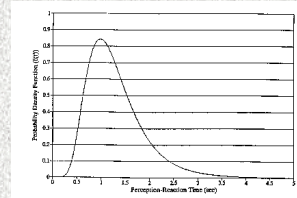
- Relaxation time  $T$ :

➤ typical relaxation times  $T_{\text{plot}}$ :

- $T_{\text{plot}} \cong 7.5 T$

➤ conclusion: for  $\tau = 1$  s

- $T - \tau < 0$  for  $\rho > 40$  [1/km/lane]



N. Gartner et al. (1997)

## Steady-state solutions

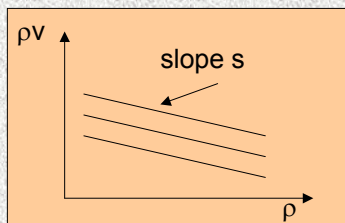
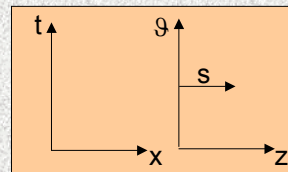
- Steady-state solutions:

➤ appropriate coordinate system  $(z, \vartheta) = (x - s t, t)$  with constant  $s$  where all time derivatives vanish

➤ continuity equation:

$$\rho v = q + \rho s, \quad q = \text{const}$$

- steady-state solutions lie on straight lines in the flow-density diagram



➤ pseudomomentum equation:

$$(\lambda_1 - s) \frac{dv}{dz} = -b(\rho, v)(v - u(\rho)), \quad \rho = \frac{q}{v - s},$$

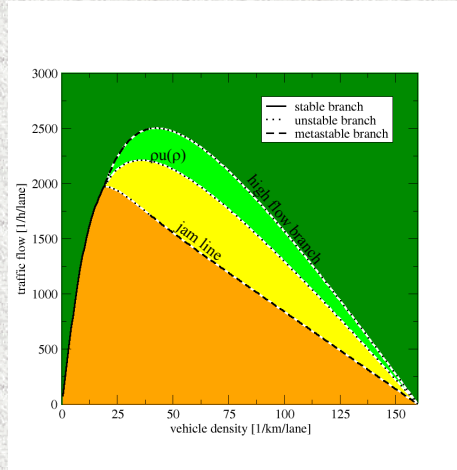
$$q = \text{const}$$

- trivial (homogeneous) solutions
- non-trivial (non-homogeneous) solutions



## Flow-density diagram

- Trivial steady-state solutions:
  - **equilibrium velocity**  $u(\rho)$
  - **zeros** of the effective relaxation coefficient  $b(\rho, v)$ 
    - **jam line**  $v(\rho)$
    - **high-flow branch**  $v^h(\rho)$
- Non-trivial steady-state solutions:
  - cover in particular the yellow and bright green regions
- Stability jam line / high flow branch:
  - subcharacteristic condition (Whitham 1974)
    - Linear stability analysis
    - Instability:
 
$$\lambda_1 = v + \rho u'(\rho) > \frac{d(\rho v^{j/h}(\rho))}{d\rho}$$

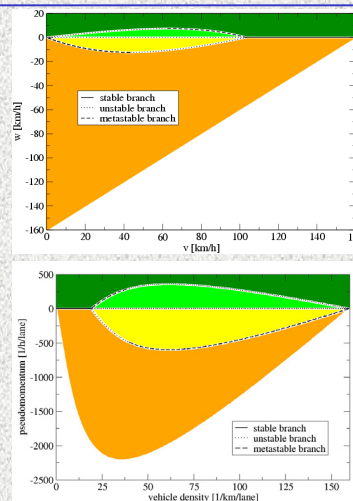


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## Alternative diagrams

- Velocity ( $v$ ) - distance from equilibrium ( $w$ ) - diagram:
  - No homogeneous steady states for velocities of about 50 km/h
  - Stability condition of high-flow branch and jam line:  $dw/dv \leq 0$
  - High-flow branch: violation of subcharacteristic condition decreases with increasing velocity
- Density ( $\rho$ ) – pseudomomentum ( $\rho w$ ) - diagram:
  - Invariant regions

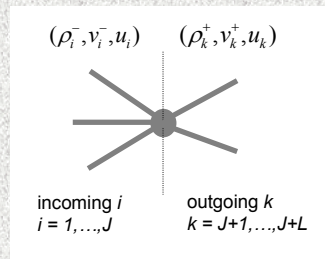


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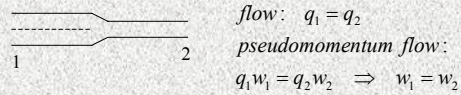
## Coupling conditions at intersections and junctions

- Separation in principal part and source term
- Riemann problem for the principal part (Aw-Rascle model)
  - boundary fluxes at a junction
  - macroscopic description: lane changes neglected
  - generalization of the coupling conditions for the LWR model
    - definition of demands and supplies



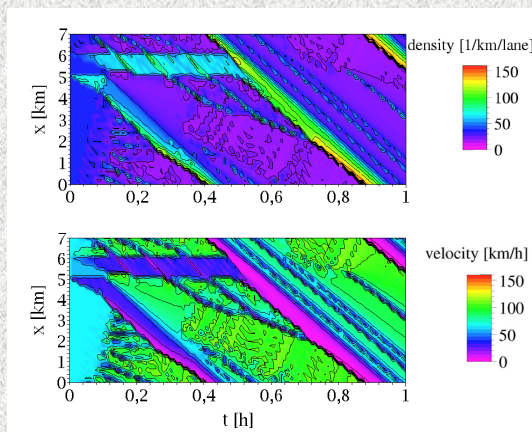
- Principles:
  - flow conservation
  - conservation of pseudomomentum flow

### Example:



## Speed restriction

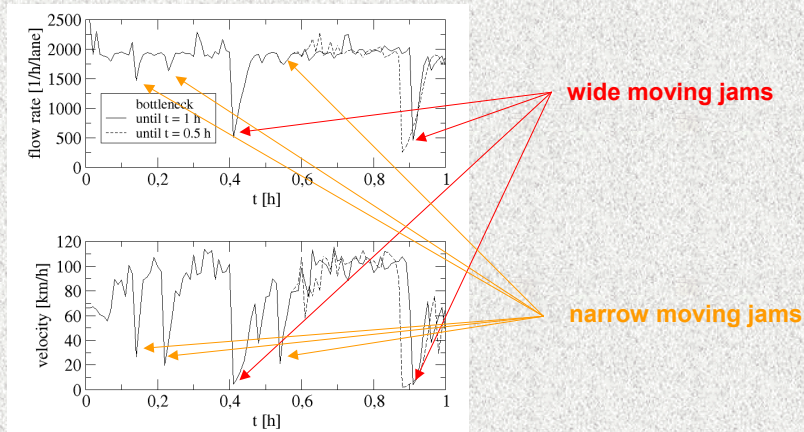
- Simulation setup:
  - periodic boundaries
  - speed limit between 5 and 6 km
  - initially free flow data
- Traffic dynamics:
  - synchronized flow:
    - bottleneck
    - narrow moving jams
      - pinch region
      - merging
      - catch effect
  - wide moving jam:
    - speed -15 km/h
    - robust





## Speed restriction

- Measurements of a virtual detector located at  $x=0$  km:

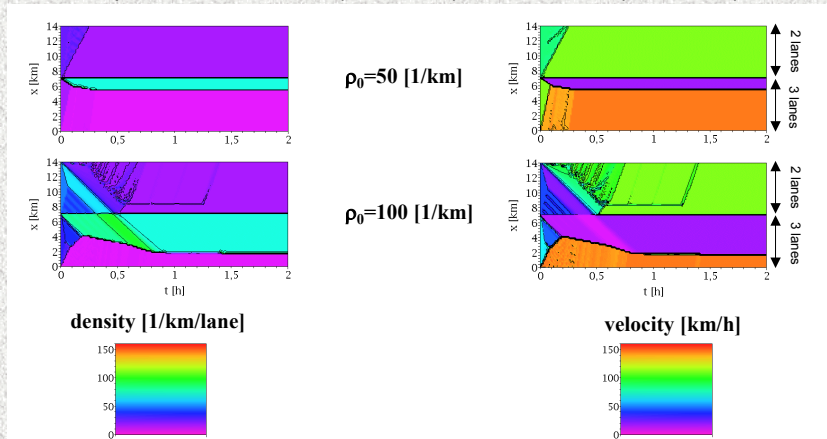


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## Lane drop bottleneck

- Lane drop from three lanes (section 1) to two lanes (section 2):



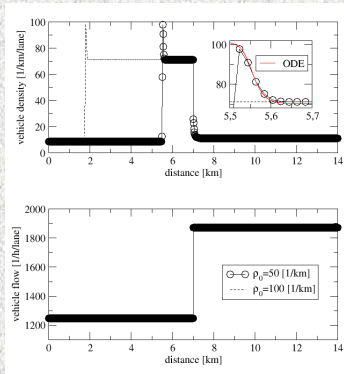
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## Lane drop bottleneck

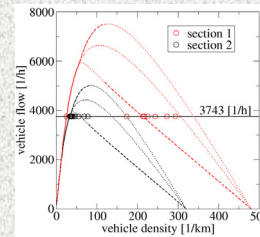
- **Static solution:**

- von Neumann state downstream of the shock, followed by a section of a nontrivial steady-state solution



- **capacity drop:**

- flow value below maximum in downstream section 2

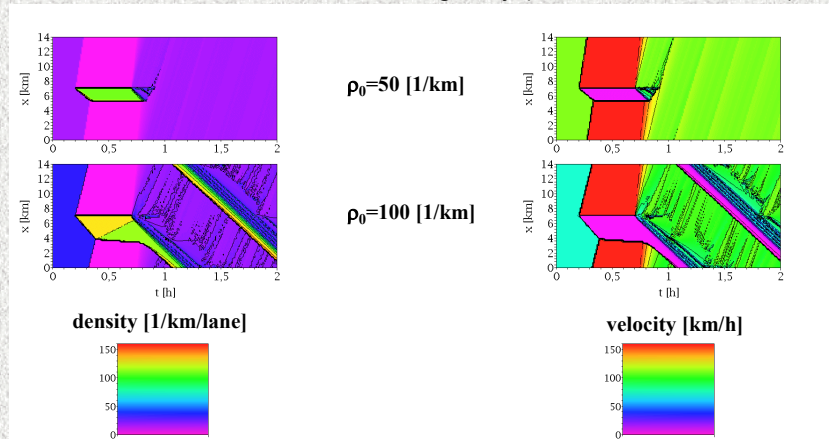


- determined by the crossing of the static solutions with the jam line

- similar to wide cluster solutions:
    - Zhang, Wong (2006), Zhang, Wong, Dai (2006)

## Temporary lane closure

- Local closure of 2 lanes on 3-lane highway (between 0.2 and 0.7h):



## Conclusion

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- **Balanced vehicular traffic model**
  - hyperbolic system of balance laws
    - macroscopic
    - deterministic
    - effective one lane
    - no distinction between different vehicle types or driving behavior
    - nonlinear dynamics
  - model results
    - multi-valued fundamental diagrams
    - wide scattering of congested traffic
    - metastability of free flow at the onset of instabilities
    - wide moving jams
    - capacity drop